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INFORMATION DISCLOSURE
CITATION
Sheet 1 of 1

U.S. PATENT & TRADEMARK OFFICE
JAN 22 2004

Attorney Docket No.
003797.84617

Serial No.
09/455,806

Applicant(s): John L. Beezer et al.

Filing Date: December 7, 1999

Group: 2171
2171

U.S. PATENT DOCUMENTS

Examiner Initial	Patent No.	Date	Name	Class	Subclass	Filing Date (if appropriate)

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FOREIGN PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Country	Class	Subclass	Translation	
						YES	NO
HS	EP 0 902 379 A2	03/1999	Europe				

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)

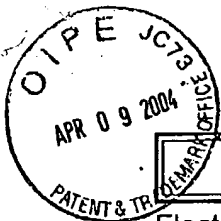
EXAMINER

Hank

DATE CONSIDERED

8/23/04

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.



ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0

Title of Invention	Ebook/Active Reading: Rendering and Capturing Ink Annotations
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Application Number: 09/455806
Confirmation Number: 5592
First Named Applicant: John Beezer
Attorney Docket Number: 003797.84617
Art Unit: 2171
Examiner: H Thai
Search string: (6697997 or 6585776 or 6457013 or 6370497
or 6266772 or 6163778 or 6038598).pn.



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APR 13 2004

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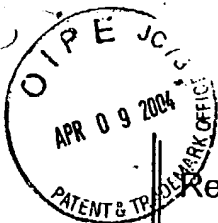
Certification: This Information Disclosure Statement was submitted under the following conditions, which satisfies the requirement under 37 CFR 1.97(e). The filer certified:

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement.

US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
	1	6697997	2004-02-24	Fujimura		715	501.1
	2	6585776	2003-07-01	Bates et al.		715	501.1
	3	6457013	2002-09-24	Saxton et al.		707	101
	4	6370497	2002-04-09	Knowles		704	1
	5	6266772	2001-07-24	Suzuki		713	182
	6	6163778	2000-12-19	Fogg et al.		707	10
	7	6038598	2000-03-14	Danneels		709	219



Remarks

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In accordance with Applicants' duty of disclosure, the following information is submitted for consideration by the United States Patent and Trademark Office in connection with the above-captioned application. The information is identified on the attached PTO 1449 form. Applicants do not waive any right to take appropriate action to establish patentability over the listed documents should they be applied as references against the claims of the present application. It is respectfully requested that the Examiner fully consider each of the documents, initial the enclosed Form PTO-1449 in the appropriate place to indicate that the document has been considered, and return a copy of the initialed form to the undersigned in accordance with MPEP Section 609. The undersigned certifies under 37 CFR 1.97(e)(2) that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. The references contained in this information disclosure statement were cited by the Examiner in a related application. Applicants believe that no fee is necessary pursuant to 37 CFR 1.97(b). However, if a fee is due, the Office is authorized to charge Deposit Account No. 19-0733.

Signature

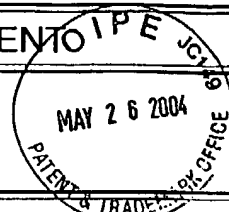
Examiner Name	Date
<i>Hanilton</i>	8/26/04

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ELECTRONIC INFORMATION DISCLOSURE STATEMENT TO PTO

Electronic Version v18

Stylesheet Version v18.0



Title of Invention

Ebook/Active Reading: Rendering and Capturing Ink Annotations

Application Number: 09/455806
 Confirmation Number: 5592
 First Named Applicant: John Beezer
 Attorney Docket Number: 003797.84617
 Art Unit: 2171
 Examiner: H Thai
 Search string: (5822720).pn.



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init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
	1	5822720	1998-10-13	Bookman et al.		704	3

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that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. The references contained in this information disclosure statement were cited by the Examiner in a related application. Applicants believe that no fee is necessary pursuant to 37 CFR 1.97(b). However, if a fee is due, the Office is authorized to charge Deposit Account No. 19-0733.

Signature

Examiner Name	Date
<i>Hambelhai</i>	<i>8/28/04</i>

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ELECTRONIC INFORMATION DISCLOSURE STATEMENT

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Title of Invention	Ebook/Active Reading, Rendering and Capturing Ink Annotations																																
<p>Application Number: 09/455806</p> <p>Confirmation Number: 5592</p> <p>First Named Applicant: John Beezer</p> <p>Attorney Docket Number: 003797.84617</p> <p>Art Unit: 2171</p> <p>Examiner: H Thai</p> <p>Search string: (5437295 or 6018342 or 6647534).pn.</p> <p style="text-align: right;">RECEIVED JAN 23 2004 Technology Center 2100</p> <p><u>Certification:</u> This Information Disclosure Statement was submitted under the following conditions, which satisfies the requirement under 37 CFR 1.97(e). The filer certified:</p> <p>That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement.</p> <p>US Patent Documents</p> <p>Note: Applicant is not required to submit a paper copy of cited US Patent Documents</p> <table border="1"><thead><tr><th>init</th><th>Cite.No.</th><th>Patent No.</th><th>Date</th><th>Patentee</th><th>Kind</th><th>Class</th><th>Subclass</th></tr></thead><tbody><tr><td></td><td>1</td><td>5437295</td><td>1994-09-13</td><td>Agulnick et al.</td><td></td><td></td><td></td></tr><tr><td></td><td>2</td><td>6018342</td><td>2000-01-25</td><td>Bristor</td><td></td><td>345</td><td>840</td></tr><tr><td></td><td>3</td><td>6647534</td><td>2003-11-11</td><td>Graham</td><td></td><td>715</td><td>526</td></tr></tbody></table> <p>Remarks</p> <p>Note: Remarks are not for responding to an office action.</p> <p>In accordance with Applicants' duty of disclosure, the following information is submitted for consideration by the United States Patent and Trademark Office in connection with</p>		init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass		1	5437295	1994-09-13	Agulnick et al.					2	6018342	2000-01-25	Bristor		345	840		3	6647534	2003-11-11	Graham		715	526
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Signature


Examiner Name	Date
<i>Washburn</i>	<i>8/26/04</i>



ELECTRONIC INFORMATION DISCLOSURE STATEMENT

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Title of Invention	Ebook/Active Reading: Rendering and Capturing Ink Annotations																																
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Signature

Examiner Name	Date
<i>Hanlihan</i>	8/26/04

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ELECTRONIC INFORMATION DISCLOSURE STATEMENT

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Title of Invention	Ebook/Active Reading: Rendering and Capturing Ink Annotations																																																								
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345 611

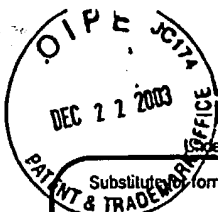
Remarks

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Signature

Examiner Name	Date
<i>Frank Har</i>	8/26/04



PTO/SB/08a (05-03)

Approved for use through 04/30/2003. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Substitute form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1

of 3

Complete if Known

Application Number	09/455,806
Filing Date	December 7, 1999
First Named Inventor	John L. BEEZER et al.
Art Unit	2776 2171
Examiner Name	H. Thai
Attorney Docket Number	003797.84617

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
HT		US- 5,473,742	12-05-1995	Polyakov et al.	
		US- 5,625,833	04-29-1997	Levine et al.	
		US- 5,729,687	03-17-1998	Rothrock et al.	
		US- 5,832,263	11-03-1998	Hansen et al.	
		US- 6,018,742	01-25-2000	Herbert, III	
		US- 6,054,990	04-25-2000	Tran	
		US- 6,088,481	07-11-2000	Okamoto et al.	
		US- 6,118,437	09-12-2000	Fleck et al.	
		US- 6,128,007	10-03-2000	Seybold	
		US- 6,239,792	05-29-2001	Yanagisawa et al.	
		US- 6,262,719	07-17-2001	Bi et al.	
		US- 6,279,014	08-21-2001	Schilit et al.	
		US- 6,289,362	09-11-2001	Van Der Meer	
		US- 6,335,727	01-01-2002	Morishita et al.	
		US- 6,340,967	01-22-2002	Maxted	
		US- 6,405,221	06-11-2002	Levine et al.	
		US- 6,571,295	05-27-2003	Sidana	
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FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				
HT		WO 87/01481	03-12-1987	Stein		
HT		WO 98/09446	03-05-1998	Ho		
HT		WO 96/20908	07-11-1996	Mikitenko et al.		

Examiner
Signature

H. Thai

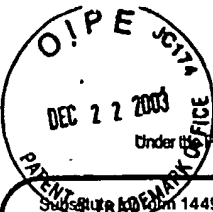
Date
Considered

8/24/04

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Complete if Known	
		Application Number	09/455,806
		Filing Date	December 7, 1999
		First Named Inventor	John L. BEEZER et al.
		Group Art Unit	2778-2171
		Examiner Name	H. Thai
Sheet 2 of 3	Attorney Docket Number	003797.84617	

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HT	1	"Adobe Acrobat 3.0 Reader Online Guide", Adobe Systems, Inc., pages 1-110	
		SOFTBOOK® Press, The Leader in Internet-Enabled Document Distribution and Reading Systems; 1999, http://www.softbook.com/consumer/reader.asp	
	✓	Rocket eBook Using the Rocket eBook; 1999, http://www.rocketbook.com/Products/Faq/using.html	
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	✓	CRESPO, CHANG, and BIER, "Responsive interaction for a large Web application: the meteror shower architecture in the WebWriter II Editor", Computer Networks and ISDN Systems, pages 1508-1517	
		KUNIKAZU, T., Patent Abstracts of Japan, Publication No. 11327789 for Color Display and Electronic Blackboard System, published 11/30/1999	
	7	Open eBook Forum, Open eBook™ Publication Structure 1.0, Sep. 1999, http://www.ebxwg.org/oebps/oebps1.0/download/oeb1-oebps.htm	
	✓	KRISTENSEN, A., "Formsheets and the XML Forms Language" (March 1999)	
	✓	Dublin Core Resource Types: Structurality DRAFT: July 24, 1997, February 27, 1998	
	✓	Dublin Core Metadata Element Set, Version 1.1: Reference Description 1999	
HT	✓	Dublin Core Metadata Initiative: User Guide Working Draft 1998-07-31 1999	

Examiner Signature	<i>Hanblan</i>	Date Considered	8/25/04
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Sheet 3 of 3

Complete If Known

Application Number	09/455,806
Filing Date	December 7, 1999
First Named Inventor	John L. BEEZER et al
Group Art Unit	2776 2171
Examiner Name	H. Thai
Attorney Docket Number	003797.84617

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HT	/	Computer Networks and ISDN Systems "Responsive interaction for a large Web application: the meteror shower architecture in the WebWriter II Editor"; 1997, pages 1508-1517	
	✓	HIROTSU et al., "Cmew/U - A Multimedia Web Annotation Sharing System", NTT Network Innovation Laboratories - Japan, IEEE, 1999, pages 356-359	
	/	KUO et al., "A Synchronization Scheme for Multimedia Annotation", Multimedia Information Networking Laboratory, Tamkang University - Taiwan, IEEE, 1997, pages 594-598	
		ahal InkWriter□ The simplicity of pen and paper, the power of word processing., Advertisement, 1993, 2 pp., ahal software corporation, Mountain View, CA	
		ahal InkWriter□ for Magic Cap "The fastest, most convenient way to create faxes, notes and messages." Advertisement, 1993, 1994, 2 pp., ahal software corporation, Mountain View, CA	
		ahal 2.0 for Windows InkWriter□ The power of word processing, the convenience of pen and paper, Advertisement, 1994, 1995, 2 pp., ahal software corporation, Mountain View, CA	
HT		ahal software products, Web Page List of Products, 9/24/97, pp. 1-5, ahal software corporation, Mountain View, CA	

Examiner
Signature

H. Thai

Date
Considered

8/25/04

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1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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ELECTRONIC INFORMATION DISCLOSURE STATEMENT

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Title of Invention	Ebook/Active Reading: Rendering and Capturing Ink Annotations Method & Apparatus for Capturing and Rendering Annotations for non-modifiable electronic content.																
<p>Application Number: 09/455806 </p> <p>Confirmation Number: 5592</p> <p>First Named Applicant: John Beezer</p> <p>Attorney Docket Number: 003797.84617</p> <p>Art Unit: 2171</p> <p>Examiner: H Thai</p> <p>Search string: (6144991).pn.</p> <p>Certification: This Information Disclosure Statement was submitted under the following conditions, which satisfies the requirement under 37 CFR 1.97(e). The filer certified:</p> <p>That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement.</p> <p>US Patent Documents</p> <p>Note: Applicant is not required to submit a paper copy of cited US Patent Documents</p> <table border="1"><thead><tr><th>init</th><th>Cite.No.</th><th>Patent No.</th><th>Date</th><th>Patentee</th><th>Kind</th><th>Class</th><th>Subclass</th></tr></thead><tbody><tr><td>HT</td><td>1</td><td>6144991</td><td>2000-11-07</td><td>England</td><td></td><td>703</td><td>205</td></tr></tbody></table> <p>Remarks</p> <p>Note: Remarks are not for responding to an office action.</p> <p>In accordance with Applicants' duty of disclosure, the following information is submitted for consideration by the United States Patent and Trademark Office in connection with the above-captioned application. The information is identified on the attached PTO 1449 form. Applicants do not waive any right to take appropriate action to establish</p>		init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass	HT	1	6144991	2000-11-07	England		703	205
init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass										
HT	1	6144991	2000-11-07	England		703	205										

patentability over the listed documents should they be applied as references against the claims of the present application. It is respectfully requested that the Examiner fully consider each of the documents, initial the enclosed Form PTO-1449 in the appropriate place to indicate that the document has been considered, and return a copy of the initialed form to the undersigned in accordance with MPEP Section 609. The undersigned certifies under 37 CFR 1.97(e)(2) that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. The references contained in this information disclosure statement were cited by the Examiner in a related application. Applicants believe that no fee is necessary pursuant to 37 CFR 1.97(b). However, if a fee is due, the Office is authorized to charge Deposit Account No. 19-0733.

Signature

Examiner Name	Date
<i>Bartholmai</i>	<i>8/26/04</i>